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#### ATTORNEY DOCKET NO: 02606

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Kenneth George SADLER et al.

Serial No

10/049,578

Filed

February 13, 2002

For

APPLICATOR FOR SURGICAL CLIPS

Examiner

Paul A. Roberts

Art Unit

3731

## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the \_\_\_\_\_\_ day of \_\_\_\_\_\_ 2004.

Patricia Blackwell

Commissioner for Patent P.O. Box 1450 Alexandria, VA 22313-1450

STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicants request consideration of this Information Disclosure Statement.

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#### Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case. No fee or certification is required.

#### Information Cited

The Applicants hereby make of record in the above-identified application the information listed on the attached form PTO/SB08A. The order of presentation of the references should not be construed as an indication of the importance of the references.

#### Explanation of Non-English Language References

An English language abstract for each of the non-English language references listed on the PTO/SB08A is attached.

#### Remarks

A copy of each of the above-identified information is enclosed unless otherwise indicated on the attached form PTO/SB/08A (modified). It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO/SB/08A be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicants make no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicants, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

Michele J. Young

Registration No. 43,299

SALTER & MICHAELSON

321 South Main Street

Providence, RI 02903-7128

Tel. (401) 421-3141 FAX (401) 861-1953

Dated: <u>MXIS</u>1200A

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 3

Complet if Known					
Application Number 10/049,578					
Filing Date	February 13, 2002				
First Named Inventor	Kenneth George SADLER et al.				
Group Art Unit	3731				
Examiner Name	Paul A. Roberts				
Attorney Docket Number	02606				

			U.S. PATENT DOC	UMENTS	
Examiner Initials*	Cite No.1	U.S. Patent Document  Kind Code  Number  (if known)	Name of Patentee or Applicant	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1.	3,270,745	Wood	09/06/1966	
	2.	3,854,482	Laugherty et al.	12/25/1974	
	3.	3,926,195	Bleier et al.	12/25/1975	
	4.	4,418,694	Beroff et al.	12/01/1983	
	5.	4,424,810	Jewusiak	01/01/1984	
	6.	4,489,725	Casey Donn et al.	12/25/1984	
	7.	4,702,247	Blake III et al.	10/27/1987	
	8.	4,822,348	Casey	04/01/1989	
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	10.	4,988,355	Leveen et al.	01/01/1991	
	11.	5,002,552	Casey Donn	03/26/1991	
	12.	5,171,250	Yoon	12/15/1992	
	13.	5,219,353	Garvey, III et al.	06/15/1993	
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Examiner Initials*	Cite No.1	Office	Foreign Patent Do	ocument Kind Code <sup>s</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
	21.	GB	886356		Kariher et al.	01/03/1962		
	22.	GB	972 731		Gerendas	10/14/1964		
	23.	DE	27 32 326		Gebruder Sulzer AG	01/11/1979		T
	24.	EP	0 220 643		U.S. Surgical Corp	05/06/1987		
	25.	GB	2 243 789	J	Femcare Limited	11/13/1991	N	
	26.	WO	95/13023		Innovative Surg	05/18/1995	. 1	
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	28.	GB	2,297,487		Ezeh Uchechukwu	08/07/1996	1 3 C	
	29.	WO			Femcare Limited	01/25/2001		
	30.	GB	1,530,282		Filshie	10/25/1978	6, 2	ち
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 3

Complete if Known						
Application Number	Application Number $10/049,578$					
Filing Date	February 13, 2002					
First Named Inventor	Kenneth George SADLER et al.					
Group Art Unit	3731					
Examiner Name	Paul A. Roberts					
Attorney Docket Number	02606					

				U.S. PATENT DOCI	UMENTS	
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	31.	5,938,666		Reynolds et al.	08/17/1999	
	32.	6,059,799		Aranyi et al.	05/09/2000	
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Examiner Initials	Cite No.1	■ Kind Code			Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
	33.	GB	2,251,794		Casey Medical Prod	07/22/1992		
	34.	GB	2,226,958		Femcare Limited	07/18/1990	000	
	35.	WO	91/08708		Yoon	06/27/1991	3)	
	36.		40 15 562		Storz	11/21/1991	M	
	37.	EP	0 916 309		Schilder	05/19/1999 3	Z ()	
	38.	•	0 820 725		USSC		D P K	
	39.		94/15537		Yoon	07/21/1994	00	
	40.		2,054,384		Trewavis	02/18/1981	17	
	41.	FR	2 709 944		Cuilleron	03/24/1995		
	42.	EP	0087941		Ethicon	09/07/1983		7
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Signature	Considered	

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



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INFORMATION
STATEMENT B

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 3 of 3

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Filing Date	February 13, 2002				
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Examiner Name	Paul A. Roberts				
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Examiner Initials	Cite No. <sup>1</sup>	Number	Kind Code <sup>2</sup> (if known)	of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear
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Cite No.1	Office		ign Patent Document Name of Patentee or Date of Publication of		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Te	
43.	EP	0087940		Ethicon Inc.	09/07/1983		
44.	EP	24687		Toyoto Motor Co.	11/03/1981		
45.	GB	2190297		Femcare Ltd.	11/18/1987		
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	No.1 43. 44.	No.1 Office 43. EP 44. EP	Cite No.1 Office3 Number4  43. EP 0087940  44. EP 24687	Foreign Patent Document	Cite No.1 Office3 Number4 Sind Code5 (if known)  And EP 0087940  EP 24687  Rame of Patentee or Applicant of Cited Document  Name of Patentee or Applicant of Cited Document  Ethicon Inc.  Toyoto Motor Co.	Cite No.1 Office3 Number4 Kind Code5 (if known) Applicant of Cited Document MM-DD-YYYY  43. EP 0087940 Ethicon Inc. 09/07/1983  44. EP 24687 Toyoto Motor Co. 11/03/1981	Foreign Patent Document   Name of Patentee or Applicant of Cited Document   Mind Codes (if known)   Manual Operation of Cited Document   Mind Dop-Yyyy   Mind Passages or Relevant Figures Appear

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Examiner			
Signature		Considered	

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